






Internal Audit Annual Plan 2010/11 - Update at 14 December 2010

Work Allocations		Actuals to 14/12/10	Remaining	Committed	Approved Plan (30/06/10)	Variance	Status at 14/12/10
Job No	Title						
1. ASSURANCE WORK							
Core Financial Systems							
08/0739	Creditors 2009/10	2	0	2			✓
09/0776	Payroll 2009/10	1	0	1			✓
09/0781	Council Tax 2009/10	2	0	2			✓
09/0782	NNDR 2009/10	2	0	2			✓
09/0786	Treasury Management 2009/10	9	0	9			✓
Sub-total - Core Financial Systems		16	0	16	90	74	
Core Management Arrangements							
08/0738	Information Management & Security	14	0	14			✓
08/0774	Ethical Governance Framework	10	0	10			✓
10/0787	NFI 2010/11	12	3	15			⚠
10/0791	Corporate Learning & Development	8	3	11			⚠
10/0797	Budgetary Control	34	1	35			⚠
Sub-total - Core Management Arrangements		78	7	85	150	65	
Risk Based Assurance Work Programme							
08/0714	Fair Pay Project	2	0	2			✓
08/0730	Climate Change	2	0	2			✓
08/0755	Contaminated Land	12	0	12			✓
08/0579	Housing Standards	8	0	8			✓
10/0762	Regeneration & Policy Probity	20	1	21			⚠
10/0789	Development Management Capacity	26	0	26			✓
10/0794	Employees Pension Contribution Rates	28	0	28			✓
10/0795	Capital Contract Management	18	12	30			⚠
10/0796	Contracted Services and SLAs	6	19	25			⚠
10/0799	IT Standards and Change Control	10	0	10			✓
10/0801	Transactional Applications	30	5	35			⚠
10/0802	Performance Reward Grant 2010 Claim	3	0	3			✓
Sub-total - Risk Based Assurance Work		165	37	202	135	-67	
Follow-Up Reviews		51	19	70	70	0	∞
SUB-TOTAL - ASSURANCE WORK		310	63	373	445	72	
2. CONSULTANCY WORK							
Support Work (projects and other)							
10/0793	Counter Crime Policy & Strategy	13	3	16			⚠
10/0509	RIPA Monitoring and Central Register	1	1	2			∞
10/0633	Risk Management Steering Group	1	0	1			∞
09/0788	Annual Governance Review & Statement 2009/10	5	0	5			✓
10/0803	Annual Governance Review & Statement 2010/11	1	4	5			⚠
10/0790	Overtime	4	0	4			✓
10/0792	Grey Fleet Review	16	0	16			✓
10/0735	Partnership Mapping and Evaluation	2	0	2			✓
10/0768	Programmes, Projects & Funding Arrangements	5	0	5			✓
Sub-total - Support Work		48	8	56	100	44	

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Job No	Title						
Efficiency & VfM							
10/0798	Mobile Telephones/SIMS VFM	1	11	12			
Sub-total - Efficiency & VfM		1	11	12	50	38	
Ad-Hoc Advice		47	18	65	65	0	
SUB-TOTAL - CONSULTANCY WORK		96	37	133	215	82	
3. OTHER							
08/0392	Deputy s151 Officer Duties	10	5	15			
SUB-TOTAL - OTHER		10	5	15	15	0	
4. AUDIT MANAGEMENT							
10/0172	Committee Work	13	6	19			
10/0189	Audit Planning & Monitoring	28	18	46			
SUB-TOTAL - AUDIT MANAGEMENT		41	24	65	70	5	
5. CONTINGENCIES							
Investigations		19	0	19	60	41	
General Contingency		0	40	40	40	0	
SUB-TOTAL - CONTINGENCIES		19	40	59	100	41	
TOTALS		476	169	645	845	200	

Key:  Completed  In Progress  Not Yet Started  Continuous or Multi-Year Activity
 Carried Forward to 2011/12 Plan  Abandoned